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ATTORNEY DOCKET NO.: EMC04-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Stephen Strickland

Serial No.:

10/810.431

For:

METHODS AND APPARATUS FOR CONTROLLING OPERATION OF A

DATA STORAGE SYSTEM

Filing Date:

March 26, 2004

Examiner:

Sugent, James F.

Art Unit:

2116

Conf. No.:

9773

Old Docket No.: EMC04-02(04012)

New Docket No.: EMC04-02

Certificate of Mailing Under 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **MAIL STOP AMENDMENT**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date: October 16, 2006

By: Tracy A. Bessette

(Typed or printed name of person mailing Document, whose signature appears below)

Signature: 3

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed is/are:

- [x] Transmittal Letter (this form, 2 pages, in duplicate), Total Pages: 4;
- [x] Amendment, Total Pages: 19;
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total postcards: 2;
- [x] Authorization to charge Deposit Account No. 50-3661, if necessary;
- [x] Check in the amount of: \$250.00, with fee amounts calculated as follows:

Attorney Docket No.: EMC04-02

	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Additional Fee
Total Claims	25	- 20	= 5	X \$50.00	= \$250.00
Independent Claims	4	- 4	= 0	X \$200.00	= \$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					= \$250.00

Applicant hereby petitions for any extension of time which is required to maintain the pendency of this case. If there is a fee occasioned by this response, including an extension fee, that is not covered by an enclosed check, please charge any deficiency to Deposit Account No. <u>50-3661</u>.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 616-2900, in Westborough, Massachusetts.

Respectfully submitted,

David E. Huang, Esq. Attorney for Applicant

USPTO Registration No.: 39,229 Bainwood, Huang & Associates, L.L.C.

Highpoint Center 2 Connector Road

Westborough, Massachusetts 01581

Telephone: (508) 616-2900 Facsimile: (508) 366-4688

Attorney Docket No.: EMC04-02

Dated: October 16, 2006

U.S. Application No.: 10/810,431



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MAIL STOP AMENDMENT

Commissioner for Patents PO Box 1450

Alexandria, Virginia 22313-1450

AMENDMENT

Sir:

In response to the Office Action mailed July 26, 2006, please amend the above-identified Application as follows: